07-02-0

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390.9765X
(maximum 12 characters)

First Named Inventor Andrew V. Anderson

Title: METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTS

Express Mail Label No. EL672747213US

ADDRESS TO:	Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

1. __X__ **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)

2. __X__ **Applicant Claims Small Entity Status. (37 CFR 1.27)**

3. __X__ **Specification** (Total Pages __41__)
(preferred arrangement set forth below)
- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference sequence listing, a table,
 or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. __X__ **Drawings(s) (35 USC 113)** (Total Sheets __4__)

5. __X__ **Oath or Declaration** (Total Pages __5__)

    a. ____ Newly Executed (Original or Copy)

    b. ____ Copy from a Prior Application (37 CFR 1.63(d))
    (for Continuation/Divisional with Box 17 completed)

        i. __ DELETIONS OF INVENTOR(S) Signed statement attached deleting
        inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
        and 1.33(b).

    c. __x__ Unsigned.

6. _____ **Application Data Sheet. (37 CFR 1.76)**

7. _____ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. _____ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
    a. ____ Computer Readable Form (CRF)

    b. ____ Specification Sequence Listing on:
        i. _____CD-ROM or CD-R (2 copies); or
        ii. _____ paper

    c. Statement verifying identity of above copies
    d.

2/15/01

- 1 -

ACCOMPANYING APPLICATION PARTS

9. _____ **Assignment Papers (cover sheet & documents(s))**
10. _____ a.   Separate 37 CFR 3.73(b) Statement (where there is an assignee)

_____ b.   Power of Attorney

11. _____ English Translation Document (if applicable)

12. _____ a.   Information Disclosure Statement (IDS)/PTO-1449

_____ b.   Copies of IDS Citations

13. _____ **Preliminary Amendment**

14. _____ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. _____ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

17. __X__ Other: Copy of Postcard with Express Mail Certificate of Mailing _____

18A.   If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

____ **Continuation**   _   **Divisional**   __X__   **Continuation-in-part (CIP)**

Of Prior Application No.: 09/865,919___   Examiner_____   Group Art Unit _____

(which is a ___ continuation/ ___ divisional/ ___ CIP of prior application no._____,

which is a ___ continuation/ ___ divisional/ ___ CIP of prior application no. _____) (List entire chain of priority)

**Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**

18B.   Statement under 37 CFR 3.73(b) for _continuing_ application:
   The undersigned states that _Intel Corporation_____ (name of assignee) is
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____
(or a copy of which is attached).

---

19.   Correspondence Address

_____ Customer Number or Bar Code Label   _____

                or   (Insert Customer No. or Attach Bar Code Label here)

__X__ Correspondence Address Below

NAME   _John P. Ward_____

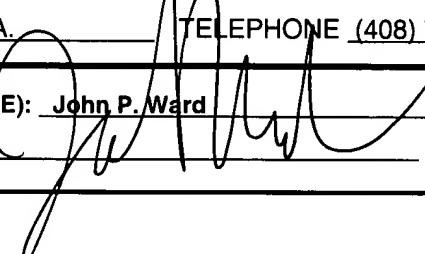   _BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP_____

ADDRESS   _12400 Wilshire Boulevard_____

           _Seventh Floor_____

CITY _Los Angeles_____   STATE _California_____   ZIP CODE _90025-1026___

Country ___U.S.A._____   TELEPHONE (408) 720-8300___   FAX _(408) 720-9397___

Name (PRINT/TYPE): _John P. Ward_____   Registration No.: __40,216___

Signature: _____   Date: ___6/29/01___

2/15/01   -2-

PRELIMINARY AMENDMENT FOR CONTINUING APPLICATION
(CONTINUATION, DIVISIONAL, OR CONTINUATION-IN-PART)

First Named Inventor __Andrew V. Anderson__

Title __METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTS__

Attorney Docket No. __42390.P9765__

Parent Application:

First Named Inventor __Andrew V. Anderson__

Title __METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTS__

Application No. __09/865,919__   Examiner: _____

Filing Date __May 24, 2001__

Group Art Unit: _____

Applicant(s): If the present patent application is a Continuation, Divisional, or Continuation-In-Part application under 37 CFR § 53(b) of a parent application, then submit this Preliminary Amendment to the PTO. Please insert the appropriate claim for priority, listing the entire chain of priority.

To: Assistant Commissioner for Patents
    Washington, D.C. 20231

Sir:

Please enter the following preliminary amendment for the present patent application.

<u>IN THE SPECIFICATION:</u>

At page 1, line _____, please insert the following:

-- The present patent application is a

___ Continuation   ____ Divisional   _X_ Continuation-in-part (CIP)

of prior application no. __09/865,919__ ,   __filed May 24, 2001__   ,
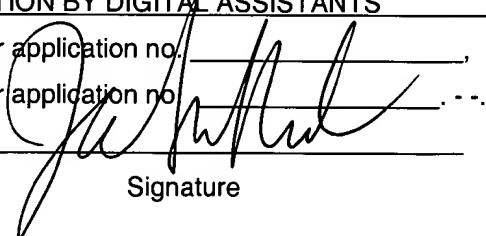
entitled __METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTS__

which is a ___ continuation/ ___ divisional/ ___ CIP of prior application no. _____ ,

which is a ___ continuation/ ___ divisional/ ___ CIP of prior application no. _____ . --

_6/29/01_
Date

_____
Signature

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP   __John P. Ward__

12400 Wilshire Blvd.   Typed or Printed Name

Seventh Floor

Los Angeles, CA 90025-1026   __40,216__

(408) 720-8300   Registration No.

FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT ($)   1220.00

Complete if Known:
Application No.   Not Yet Assigned
Filing Date Herewith
First Named Inventor Andrew V. Anderson
Group Art Unit Not Yet Assigned
Examiner Name Not Yet Assigned
Attorney Docket No. 42390.P9765X

METHOD OF PAYMENT (check one)

1.   [X]   The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number   02-2666
Deposit Account Name

[   ]   Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

[   ]   Applicant claims small entity status. See 37 CFR 1.27

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2.   __X__   Payment Enclosed: __X__   Check
                                    _____   Credit Card
                                    _____   Money Order
                                    _____   Other

FEE CALCULATION

1.   BASIC FILING FEE

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
101	710	201	355	Utility application filing fee	710.00
106	320	206	160	Design application filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional application filing fee	

SUBTOTAL (1) $ 710.00

2.   EXTRA CLAIM FEES

		Extra Claims	Fee from below		Fee Paid
Total Claims	35	− 20** = 15	X	18.00	= 270.00
Independent Claims 6		− 3** = 3	X	80.00	= 240.00
Multiple Dependent					=

**Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) $ 510.00

01/25/01

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for ex parte reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for reply within first month	_____
116	390	216	195	Extension for reply within second month	_____
117	890	217	445	Extension for reply within third month	_____
118	1,390	218	695	Extension for reply within fourth month	_____
128	1,890	228	945	Extension for reply within fifth month	_____
119	310	219	155	Notice of Appeal	_____
120	310	220	155	Filing a brief in support of an appeal	_____
121	270	221	135	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive – unavoidable	_____
141	1,240	241	620	Petition to revive - unintentional	_____
142	1,240	242	620	Utility issue fee (or reissue)	_____
143	440	243	220	Design issue fee	_____
144	600	244	300	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Processing fee under 37 CFR 1.17(q)	_____
126	180	126	180	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	_____
179	710	279	355	Request for Continued Examination (RCE)	_____
169	900	169	900	Request for expedited examination of a design application	_____
195	300	195	300	Publication fee for early, voluntary, or normal publication	_____
196	300	196	300	Publication fee for republication	_____
194	130	194	130	Request for voluntary publication or republication	_____
098	130	098	130	Processing fee under 37 CFR 1.17(i)	_____
091	1,240	091	1,240	Acceptance of unintentionally delayed claim for priority	_____

Other fee (specify) _____ _____

Other fee (specify) _____ _____

SUBTOTAL (3) $ 0 _____

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: __John P. Ward__

Signature: _____ Date: __6/29/01__

Reg. Number: __40,216__   Telephone Number: __408-720-8300__

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672747213US

Date of Deposit: 6/29/01

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

_____
(Typed or printed name of person mailing paper or fee)

_____
(Signature of person mailing paper or fee)

6/29/01
_____
(Date signed)

Serial/Patent No: ***

Client: Intel Corporation

Title: METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTS

BSTZ File No. 42390.P9765

Date Mailed: June 29, 2001

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon.

- [ ] Amendment/Response
- [x] Appeal Brief
- [ ] Application - Utility
- [ ] Application
- [ ] Application
- [x] Application
- [ ] Application
- [ ] Application
- [ ] Application
- [ ] Application - Provisional
- [ ] Assignment and Cover Sheet
- [x] Certificate of Mailing Express Mail
- [x] Declaration & POA Unsigned
- [ ] Disclosure Docs & Oth & Copy
- [x] Drawings
- [ ] Other